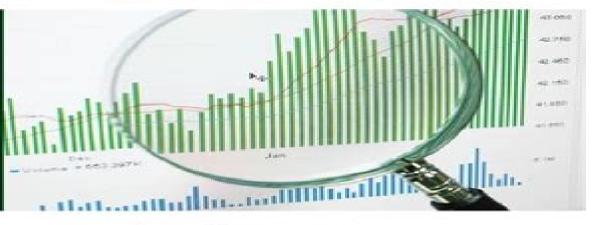
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BUY-SIDE
AND SELL-SIDE
ANALYSTS



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Avoiding Valuation and Stock-Picking Pitfalls

Getting Others to Act on Stock Recommendations

JAMES J. VALENTINE, CFA

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compelled the separation of financial security function constraining financial conglomerates But are these new regulations and safeguards adequate protection What costs do they impose on the industry This fifth title in the ICMP CEPR series of Geneva Reports on the World Economy examines the problem of conflicts of interest in the financial system Conflicts of interest lead to a decrease in information that makes it harder for the system to provide savers wit the accurate essential information that induces them to provide credit to borrowers This study focuses on conflicts of interest that arise when a firm combines multiple lines of business creating multiple interests Conflicts between research and underwriting in investment banking and between auditing and consulting in accounting firms are investigated as are the problems that arise from rating agencies providing consulting services and from universal banks combining commercial and investment banking Determining the appropriate remedy for a conflict is a challenge because the elimination of conflicts may also eliminate benefits from economies of scope This study examines five generic remedies market discipline regulation for increased transparency supervisory oversight separation of financial activities by function and socialization of the collection and distribution of information The authors apply this framework to assess critically the Sarbanes Oxley Act and the Global Settlement between American regulators and investment banks

\*\*Denver University Law Review\*\*, 1985\*\*

The Private Equity Analyst\*\*, 2004\*

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 $\underline{\text{what you need to know about sorolla spanish master}}\text{-} \ \text{Sep 05 2022}$ 

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